Youth Risk and Protective Factors

Improving the lives of children and youth, especially those at risk, begins with limiting the impact of "risk factors" (conditions which endanger youth and lead them off track) and increasing exposure to "protective factors" (conditions that promote healthy behaviors and sound decision making). Programs that address these risk and protective factors can be identified through the Program Directory.

What Are Risk and Protective Factors?

Risk factors are conditions that increase the likelihood that youth will get into trouble or expose themselves to danger. Protective factors are safeguards that promote resiliency and enhance a young person's ability to resist risks or hazards and make good decisions. Like risk factors, protective factors can exist in—and be addressed by—individuals, families, communities, and institutions.

The greater the intensity or number of risk factors, the greater the likelihood that youth will engage in delinquent or other risky behaviors. Exposure to protective factors helps young people make better decisions, confront obstacles, and find the supports they need. They may prevent, diminish, or counteract the effects of risk factors.

Families and communities are key to enhancing positive youth development when they provide strong parenting, good adult role models, dependable sources of adult supervision, a strong sense of community, safe neighborhoods, and effective community-based and government services.

Proven Risk and Protective Factors

Risk Factors

Anti-social behavior and alienation; delinquent beliefs; general delinquency involvement; drug dealing

Chronic medical and/or physical condition Cognitive and neurological deficits; low intelligence quotient; hyperactivity Early onset of aggression and/or violence

Early sexual involvement

Individual

Favorable attitudes toward drug use; alcohol and/or drug use; early onset of AOD use Gun possession; illegal gun ownership and/or carrying

Lack of guilt and empathy

Life stressors

Mental disorder; mental health problem; conduct

disorder

Poor refusal skills

Teen parenthood

Protective Factors

High individual expectations

Perception of social support from adults and

Positive/resilient temperament

Positive expectations; optimism for the future

Self-efficacy

Social competencies and problem-solving skills

Risk Factors

Victimization and exposure to violence

Broken home

Child victimization and maltreatment

Family history of the problem behavior; parent

criminality

Family management problems; poor parental

supervision and/or monitoring

Family transitions Family violence

Family Having a young mother

Low parent college expectations for child Low parent education level; illiteracy

Maternal depression

Parental use of physical punishment; harsh and/or

erratic discipline practices
Pattern of high family conflict
Poor family attachment/bonding
Sibling antisocial behavior

Dropping out of school Frequent school transitions Identified as learning disabled

Inadequate school climate; poorly organized and

functioning schools; negative labeling by teachers

School

Low academic achievement Low academic aspirations

Negative attitude toward school; low bonding; low school attachment; commitment to school

School suspensions

Truancy; frequent absences

Association with delinquent and/or aggressive

peers

Peer Gang involvement; gang membership

Peer alcohol, tobacco, and/or other drug use

Peer rejection

Availability of alcohol and other drugs

Availability of firearms

Community crime/High crime neighborhood

Community instability

Economic deprivation; poverty; residence in a

Community disadvantaged neighborhood

Feeling unsafe in the neighborhood

Low community attachment Neighborhood youth in trouble

Social and physical disorder; disorganized

neighborhood

Protective Factors

Effective parenting

Good relationships with parents; bonding or

attachment to family Having a stable family

Healthy, conventional beliefs and clear

standards

High family expectations

Opportunities for prosocial family

involvement

Presence and involvement of caring,

supportive adults

Religiosity; involvement in organized

religious activities

Rewards for prosocial family involvement

Above average academic achievement/reading and math skills

and math skills

High expectations of students

High-quality schools; clear standards and

rules

Opportunities for prosocial school

involvement

Presence and involvement of caring,

supportive adults

Rewards for prosocial school involvement

Strong school motivation; positive attitude

toward school

Student bonding (attachment to teachers,

belief, commitment)

Good relationship with peers

Involvement with positive peer group

activities

Parental approval of friends

Clear social norms; policies with sanctions for

violations and rewards for compliance

High community expectations Nondisadvantaged neighborhood

Prosocial opportunities for participation;

availability of neighborhood resources Rewards for prosocial community

involvement

Safe environment; low neighborhood crime

Bibliography

Arthur, M. W., J. D. Hawkins, J. A. Pollard, R. F. Catalano, A. J. Baglioni, Jr. (2002), "Measuring Risk and Protective Factors for Substance Use, Delinquency, and Other Adolescent Problem Behaviors. The Communities That Care Survey," Evaluation Review, 26(6):575-601.

Catalano, R. F., J. D. Hawkins (1996), "The Social Development Model: A Theory of Antisocial Behavior." In J. D. Hawkins (ed.), Delinquency and Crime: Current Theories (pp. 149-197), New York: Cambridge University Press.

Guo, J., J. D. Hawkins, K. G. Hill, R. D. Abbott (2001), "Childhood and Adolescent Predictors of Alcohol Abuse and Dependence in Young Adulthood," Journal of Studies on Alcohol, 62(6):754-762.

Hawkins, J. D., R. F. Catalano, et al. (1992), Communities That Care, San Francisco: Jossey-Bass.

Hawkins, J. D., M. L. Van Horn, M. W. Arthur (2004), "Community Variation in Risk and Protective Factors and Substance Use Outcomes," Prevention Science, 5(4):213-220.

Howell, J. C. (2003), Preventing and Reducing Juvenile Delinquency: A Comprehensive Framework, Thousand Oaks, California: Sage Publications.

Howell, J. C., A. Egley, Jr. (2005), "Moving Risk Factors Into Developmental Theories of Gang Membership," Youth Violence and Juvenile Justice: An Interdisciplinary Journal, 3(4):334-354.

Kegler, M. C., R. F. Oman, S. K. Vesely, K. R. McLeroy, C. B. Aspy, S. Rodine, L. Marshall (2005), "Relationships Among Youth Assets and Neighborhood and Community Resources," Health Education and Behavior, 32(3):380-397.

Kirby, L. D., M. W. Fraser (1997), "Risk and Resilience in Childhood." In M. W. Fraser (ed.), Risk and Resilience in Childhood (pp. 10-33), Washington, DC: National Association of Social Workers.

Stouthamer-Loeber, M., R. Loeber, E. Wei, D. P. Farrington, P. H. Wikstrom (2002), "Risk and Promotive Effects in the Explanation of Persistent Serious Delinquency in Boys," Journal of Consulting and Clinical Psychology, 70(1):111-123.

Stouthamer-Loeber, M., E. Wei, R. Loeber, A. S. Masten (2004), "Desistance From Persistent Serious Delinquency in the Transition to Adulthood," Development and Psychopathology, 16:897-918.

Retrieved from: http://findyouthinfo.gov/program-directory/research